UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	CHM-009
First Inventor	Michael John Rutter
Title	Tracheotomy Valve Unit
Express Mail Label No.	EL966243489US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. [X] Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. [X] Applicant claims small entity status – See 37 CFR 1.27

3. [X] Specification   [Total Pages [18]]
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. [X] Drawing(s) (35 U.S.C. 113)   [Total Sheets [6]]

5. [X] Oath or Declaration   [Total Pages [1]]

 a. [X] Newly executed (original copy)

 b. [ ] Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 Completed)

  i. [ ] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [ ] Application Data Sheet (see 37 CFR 1.76)

7. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. [ ] Computer Readable Form (CRF)
 b. [ ] Specification Sequence Listing on:
  i. [ ] CD-ROM or CD-R (2 copies); or
  ii. [ ] Paper
 c. [ ] Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. [ ] Assignment Papers (cover sheet & document(s))

10. [ ] 37 CFR 3.73(b) Statement (when there is an assignee)
 [ ] Power of Attorney

11. [ ] English Translation Document (if applicable)

12. [ ] Information Disclosure Statement (IDS/PTO-SB08 or SB-1449)
 [ ] Copies of IDS Citations

13. [ ] Preliminary Amendment

14. [X] Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. [ ] Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. [ ] Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.

17. [ ] Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

[ ] Continuation   [ ] Divisional   [ ] Continuation-in-part (CIP)   of prior application no.

Prior Application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

[X] Customer Number or Bar Code Label	38155
	(insert Customer Number or attach bar code label here)

Name (print/type)	Donald E. Hasse	Registration No. (Attorney/Agent)	29,387
Signature	*Donald E. Hasse*	Date	December 15, 2003

FEE TRANSMITTAL

(Patent Fees are subject to annual revision)

16711 U.S.PTO
121503

[X] Applicant claims small entity status. (See 37 CFR 1.27)

	Complete if Known
Application Number	
Filing Date	
First Named Inventor	Michael John Rutter
Examiner Name	
Art Unit	
Attorney Docket No.	CHM-009

TOTAL AMOUNT OF PAYMENT $430.00

METHOD OF PAYMENT (check all that apply)

[ ] Check [X] Credit Card [ ] Money Order [ ] Other [ ] None
[ ] Deposit Account:

. Deposit Account Number [ ]
  Deposit Account Name [ ]

The Commissioner is authorized to: (check all that apply)

[X] Charge fee(s) indicated below [X] Credit any overpayments
[ ] Charge any additional fee(s) during the pendency of this application
[ ] Charge fee(s) indicated below, except for the filing fee to the above
  identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	2001	Utility filing fee	385.00
1002	2002	Design filing fee	.00
1003	2003	Plant filing fee	.00
1004	2004	Reissue filing fee	.00
1005	2005	Provisional filing fee	.00

Subtotal (1) ($) 385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from Below	Fee Paid
Total Claims	25 - 20** = 5	X 9 =	45.00
Independent Claims	2 - 3** = 0	X =	0.00
Multiple Claims		=	0.00

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202	2202	Claims in excess of 20
1201	2201	Independent claims in excess of 3
1203	2203	Multiple dependent claim, if not paid
1204	2204	**Reissue independent claims over original patent
1205	2205	**Reissue claims in excess of 20 and over original patent

Subtotal (2) ($) 45.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Codes	Small Entity Fee Codes	Fee Description	Paid
1051	2051	Surcharge – late filing fee or oath	[ ]
1052	2052	Surcharge – late provisional filing fee or cover sheet	[ ]
1053	1053	Non-English specification	[ ]
1812	1812	Filing a request for ex parte reexamination	[ ]
1804	1804	* Requesting publication of SIR prior to Examiner action	[ ]
1805	1805	Requesting publication of SIR after Examiner action	[ ]
1251	2251	Extension for reply within first month	[ ]
1252	2252	Extension for reply within second month	[ ]
1253	2253	Extension for reply within third month	[ ]
1254	2254	Extension for reply within fourth month	[ ]
1255	2255	Extension for reply within fifth month	[ ]
1401	2401	Notice of Appeal	[ ]
1402	2402	Filing a brief in support of an appeal	[ ]
1403	2403	Request for oral hearing	[ ]
1451	1451	Petition to institute a public use proceeding	[ ]
1452	2452	Petition to revive – unavoidable	[ ]
1453	2453	Petition to revive - unintentional	[ ]
1501	2501	Utility issue fee (or reissue)	[ ]
1502	2502	Design issue fee	[ ]
1503	2503	Plant issue fee	[ ]
1460	1460	Petitions to the Commissioner	[ ]
1807	1807	Processing fee under 37 CFR 1.17(q)	[ ]
1806	1806	Submission of Inform. Discl. Statement	[ ]
8021	8021	Recording each patent assignment	[ ]
1809	2809	Filing a submission after final rejection (37 CFR 1.29(a))	[ ]
1810	2810	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
1801	2801	Request for Continued Examination (RCE)	[ ]
1802	1802	Request for expedited examination of a design application	[ ]

Other fee (specify) ___ [ ]
*Reduced by Basic Filing Fee Paid

Subtotal (3) ($) 0.00

SUBMITTED BY

Complete (if applicable)

Name (print/type)	Donald E. Hasse	Registration No.	29,387	Telephone	513-229-0383
Signature	*Donald E. Hasse*			Date	December 15, 2003